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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Agere Systems Inc.

Application No./Patent No.: 09/138,146 Filed/Issue Date: August 21, 1998

Entitled: INTEGRATED CIRCUIT CARRIER AND METHOD OF MANUFACTURING AND INTEGRATED CIRCUIT

Agere Systems Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventors To: Lucent Technologies Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 9512, Frame 0878, or for which a copy thereof is attached.

2. From: Lucent Technologies Inc. To: Agere Systems Guardian Corp.

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: Agere Systems Guardian Corp. To: Agere Systems Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Greg H. Parker

Printed or Typed Name

Attorney for Applicants

Title

April 12, 2005

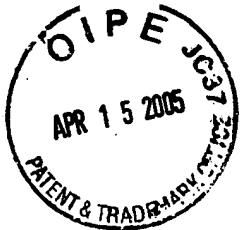
Date

(972) 480-8800

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEBRUARY 08, 1999



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600 MOUNTAIN AVENUE, 3C-512
P.O. BOX 636
MURRAY HILL, NJ 07974-0636



100851705A

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RECORDATION DATE: 10/05/1998

REEL/FRAME: 9512/0878
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAILLIE, MATTHEW BRETT

DOC DATE: 08/24/1998

ASSIGNOR:

REICHL, GARY JOHN

DOC DATE: 08/21/1998

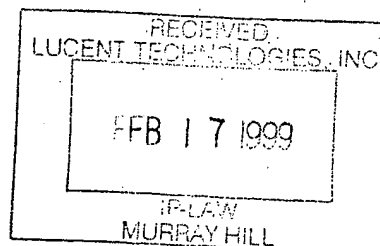
ASSIGNEE:

LUCENT TECHNOLOGIES INC.
600 MOUNTAIN AVENUE, P.O. BOX 636
MURRAY HILL, NEW JERSEY 07974-0636

SERIAL NUMBER: 09138146
PATENT NUMBER:

FILING DATE: 08/21/1998
ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



10-16-1998

PATENT ONLY



100851705

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PATENTS ONLYDEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Matthew Brett Baillie
Gary John ReichlAdditional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

3. Nature of Conveyance:

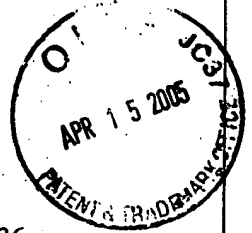
- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 24 and 21 August 1998

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain AvenueP. O. Box 636City: State: ZIP: Murray Hill, NJ 07974-0636Additional name(s) & address(es) attached? ☐ YES ☒ NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s) 09/138146 B. Patent Number(s) _____Additional number(s) attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket AdministratorInternal Address: 3C-512Street Address: 600 Mountain Avenue
P. O. Box 636City: State: ZIP: Murray Hill, NJ 07974-06366. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing

Anthony Grillo
Registration. No. 36,535

Signature

Oct. 1, 1998
DateTotal number of pages including cover sheet, attachments, and document: 4

CASE: M. B. Baillie 2-2 (AG)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

09/138146
0000042 12225
10/09/1998 SEARCHED
01 F4:501

ASSIGNMENT AND AGREEMENT

For value received, we, Matthew Brett Baillie, of Emmaus in the County of Lehigh and State of Pennsylvania, and Gary John Reichl, of Coopersburg in the County of Lehigh and State of Pennsylvania, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **An Integrated Circuit Carrier And Method Of Manufacturing And Integrated Circuit** described in an application for Letters Patent of the United States, Serial No. 60/095397, filed August 5, 1998, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

M.B. Baillie 2-2

Gary John Reichl 8/21/98
Gary John Reichl

Date: 8/21/98

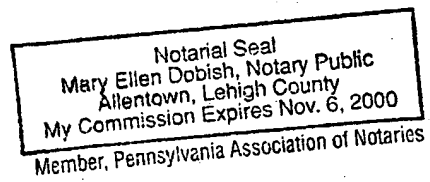
United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 21 day of August, 19 98, before me personally came Gary John Reichl, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Mary Ellen Dobish
Notary Public



Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636

EXECUTION COPY

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

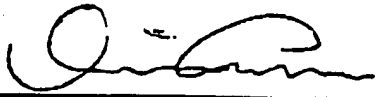
ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

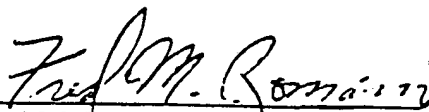
ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date:

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

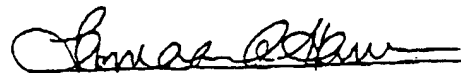
AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)
 : ss:
 COUNTY OF SOMERSET)

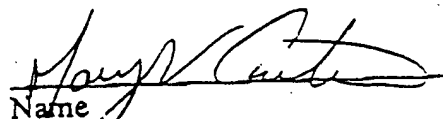
I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:
 a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and
 b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



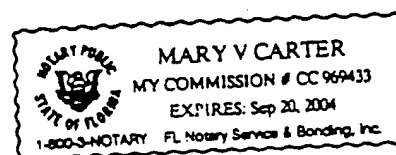
Name TAMORA ANNE HANNA
 Notary Public Notary Public of New Jersey
 My Commission Expires Registered in Hunterdon County
 [Notarial Seal] My Commission Expires March 25, 2002

STATE OF FLORIDA)
 : ss:
 COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:
 a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp.; and
 b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name
 Notary Public
 My Commission Expires:
 [Notarial Seal]



APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Anderson 3-11	8/10/1999			09/370963
Anderson 3-31-33-1-1-2	10/11/1997		08/947538	
Andrews 3-17-4-4-8-3-7	3/20/1998	5/16/2000	6064225	
Andrews 4-5-8-9-4	7/15/1998		09/115683	
Andrews 5-18-1-12-5-3-2-1-7-2-3-10	5/26/2000			60/207371
Anesko 2-1-5-11-1	6/1/2000			09/585476
Anesko 3-2-3	8/28/2000		09/648996	
Angst 1-1-11-21-26	11/20/1998			09/197074
Angst 2-19	7/1/1999			09/345874
Anselm 2-8-90-2	11/23/1998			09/198111
Anselm 3-94-11	9/21/1999			09/399655
Antol 1-10-20-1-8	11/21/1998			09/197412
Antonell 1-5-14-7-9	12/20/1999			60/172654
Archibald 5-1	11/12/1999			60/164991
Argade 11	1/5/1998		09/002955	
Arnold 1-1-3-15-3	6/19/1998		09/099776	
Artaki 2-23-1-5-1-3-6	7/12/1999			09/351220
Artz 1-1-3-1	12/18/1998	8/8/2000	6101083	09/215411
Ashari 1-30-1	10/29/1999			09/430423
Ashby 8-20-11-2	4/22/1999	9/5/2000	6114912	09/298048
Ashby 9-5	6/24/1999			09/339750
Ashton 2	1/22/1999			09/235984
Ashton 4-11-1-15	9/1/1999			09/388203
Ashton 6-19-6-12-22	8/29/2000		09/651661	
Asthana 8-2-63	3/30/1999			09/280481
August 24-1-3-49	9/22/2000		09/667313	
Avidor 4-8	3/5/1998		09/035533	
Awater 4-1-9	7/31/1997		08/904176	
Ayanoglu 3-3-5-27Re	2/26/1997		08/805831	
Azadet 11-1-1-6	2/3/2000			60/180079
Azadet 12-4	10/30/2000		60/244453	
Azadet 13-5	11/3/2000		60/245519	
Azadet 4-3	12/2/1998			09/203969
Azadet 5-14	11/9/1998			09/188625
Azadet 6	12/7/1998			09/206519
Azadet 7-4	12/7/1998			09/206527
Azadet 8	10/1/1999			09/414129
Azadet 9-5	6/4/1999			09/326781
Azimi 3-11-1	6/5/1998		09/092404	
Azimi 4-26-30-11	8/25/1998		09/139721	
Azimi 5-17	12/23/1998			09/219800
Azimi 6-8-18-5-2	7/16/1999			09/356043
Azimi 7-18-26	7/22/1999			09/359203
Aziz 1-1-1	4/13/1998	4/4/2000	6046691	
Aziz 2-1-3-2	3/18/1999			09/272089
Aziz 3-4-3	12/4/1998			09/205319
Aziz 4	6/23/1999			09/338104
Aziz 5	2/8/2000			09/500065
Aziz 6	10/6/1999			09/413082
Bacher 3-2-21-23	8/16/2000		09/640289	
Badaire 1-9	4/28/2000			09/561547
Baez 1-1-37-1-9-2	1/14/1999			09/231857
Bagheri 1-2-1	9/27/1999			09/406030
Bai 2-10-7	1/29/1999	2/8/2000	6023573	09/240432
Bailey 2-6-18-4	9/1/1998	3/28/2000	6043715	
Bailey 4-6	9/1/1998	3/21/2000	6040742	
Baillie 2-2	8/21/1998		09/138146	
Baker 5-3-2	7/1/1998		09/108429	

CERTIFICATE OF OWNERSHIP AND MERGER

OF

Agere Systems Guardian Corp.
(a Delaware corporation)

INTO

Agere Systems Inc.
(a Delaware corporation)

**UNDER SECTION 253 OF THE GENERAL
CORPORATION LAW OF THE STATE OF DELAWARE**

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), **DOES HEREBY CERTIFY:**

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.

By Paul Bento
Paul Bento, Vice President

Delaware

PAGE 1

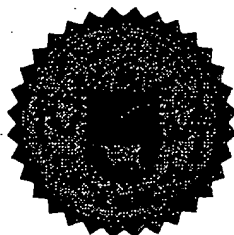
The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION,
WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

3268412 8100M

AUTHENTICATION: 1959517

020545223

DATE: 08-29-02

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